



Data Sharing Authorization

For your authorization

In response to your request to share certain information about your UBS Financial Services Inc. account(s) with a third-party, we are providing you with this authorization form.

We ask that you carefully review this authorization form, provide the information requested, and return the form to your Financial Advisor.

Questions

Please contact your Financial Advisor with any questions.

Thank you for allowing us to serve your wealth management needs.

Upon receiving your written authorization, we will provide certain transaction data about your UBS Financial Services Inc. account(s) via a computer system download to the entity named below (entity). We understand that, per your instructions, they will use the data to generate certain types of reports to analyze or otherwise reconcile your holdings with their records.

By signing below, you authorize and direct UBS Financial Services Inc. to provide any and all information regarding your UBS Financial Services Inc. account(s) to them, including information relating to transactions and holdings in your account(s) (the Data). We agree to provide the Data for your account(s) listed below, and any subsequent accounts you open in the future, subject to the following conditions:

- UBS Financial Services Inc. does not assume or bear any responsibility or liability for the Data, its security during and after its transmission to the entity, the completeness and accuracy of the Data, the use of that Data by the entity or the outputs and reports that are prepared based on that Data.
- You acknowledge that the Data made available to the entity is preliminary in nature and subject to change prior to being incorporated in your transaction confirmations, account statements and performance reports. The confirmations, statements and performance reports you receive from us are the only official records of your UBS Financial Services Inc. account(s). Consequently, the Data does not represent and cannot be construed as the official records of your account(s) with UBS Financial Services Inc. and may not be used for trading purposes or relied upon in making an investment or liquidation decision.
- You agree to hold UBS Financial Services Inc. harmless from any loss or damages incurred as a result of the Data transmission and the use of such Data (for any purpose) by the entity—including the sharing of such Data with others by them.

Please note that pricing differences may exist if prices are changed on our books and records after the data is transmitted to the entity. Differences may exist for a variety of reasons including skipped or unprocessed data, trade cancellations, or missing information. The entity will be responsible for any reconciliation needed in its reports.

You may revoke this authorization at any time by providing written notice to your Financial Advisor and the Branch Manager. Ending Data sharing will be effective within five business days of the date we receive your new instructions. Please note that UBS Financial Services Inc. has the right to stop the transmission of Data at any time and is not obligated by rule or regulation to continue to supply this information to the entity.

Name of Financial Advisor: _____ **Phone number:** _____

Name of entity requesting Data download: _____

UBS Financial Services Inc. Account #: _____ Account name: _____

UBS Financial Services Inc. Account #: _____ Account name: _____

UBS Financial Services Inc. Account #: _____ Account name: _____

UBS Financial Services Inc. Account #: _____ Account name: _____

Agreed and Accepted - Signature	Print name(s)	Date
_____	_____	_____
_____	_____	_____
_____	_____	_____

If account is jointly held, all owners must sign.